

**PURCHASE MANDATE FORM**

RC: No.46713

(PLEASE COMPLETE ALL SECTIONS IN CAPITAL LETTERS). **NOTE:** ALL ITEMS MARKED WITH RED ASTERISK (\*) ARE COMPULSORY

**CLIENT PERSONAL INFORMATION**

All information is treated as confidential and may be required by the Securities & Exchange Commission and/or the Nigerian Stock Exchange

Shareholder Type\*  Individual  Joint Holders  Corporate  Estate Date (DD/MM/YYYY)\*

Shareholder Account CSL No.\*  Clearing House No  CSCS No.\*

Sex:  Male  Female Title:  Mr.  Mrs.  Miss  Dr.  Other  
(Please Indicate)

Surname\*  Forenames\*

Branch of Domiciliation(CSL)\*  Office Phone Number  Mobile Phone Number\*  Facsimile Number

Customer Address\*

Postal Address\*

Email Address (Please Fill in CAPITAL LETTERS)

Name & Address of Employer

**I hereby authorise CSL to Purchase the specified unit(s)**

	Security(ies)*	Units*	Price Limit*	Period Limit*
1	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
5	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
6	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Note:** Price limit represents amount above which purchase should not be made. Indicate "Market" should you not have a specific price limit.  
Time limit represents time after which purchase should not be made.  
Maximum time limit is one month.

**For Official Use Only**

**VERIFICATION**

Originating SOL

Documentation Complete Yes  No

Scanned by

Signature

Authorised by

Signature

Account Officer Signature & Date

I hereby certify that all the information given above is correct. I also certify that I have read, understand and agree with the attached terms and conditions governing the accounts/products I have requested, as well as assessment of your services via the channels we have selected.

Customer's Signature\*  Customer's Signature\*  Customer's Signature\*

**Customer Service**

E-mail address: CSLService@firstcitygroup.com  
Phone number: 01-7450073 or 01-7411843



## TERMS AND CONDITIONS

### Transactions

- (i) Unless a price limit is indicated for a transaction, the transaction will be executed at the ruling market price and CSL Stockbrokers Ltd. cannot be held liable for any loss incurred from price changes during the period of execution of the client's order.
- (ii) Trade mandates without a client imposed time limit for execution will become void after one month; and if executed within the one month period, CSL Stockbrokers Ltd. will not be liable for any loss incurred from price changes within this period.
- (iii) CSL Stockbrokers Ltd. is acting as stockbrokers only and not financial advisors. Consequently, we will only execute clients' buy or sell mandates.
- (iv) CSL Stockbrokers Ltd. executes transactions based on ruling market prices of stocks on the Nigerian Stock Exchange, availability of the requested security buy and sell and on a best efforts basis only; thus CSL Stockbrokers Ltd. cannot guarantee that a mandate will be executed on a particular day.
- (v) Mandates to buy can only be processed if there are sufficient cleared funds in the account to execute the transaction.
- (vi) A trade mandate form signed by a client shall be deemed as a client authorized trade order.
- (vii) Contract notes shall be deemed as confirmed trade orders if not objected to by the client in writing within 24 hours of the receipt of the same.
- (viii) Transaction fees and charges shall be contained in the contract notes sent to clients.
- (ix) CSL Stockbrokers Ltd. will only confirm mandates valued at **five million naira and over** with client before execution.
- (x) Failure to fill in compulsory sections may result in your trade not being executed.

### CONSENT

I/ WE,..... hereby confirm that I/WE are the authorized signatories on this account, that I/We have read and understand the contents of this trade mandate document, and undertake to be bound by the terms and conditions contained therein.

Date.....

Signature.....